



# Introducing CAL: Context-Aware Learning

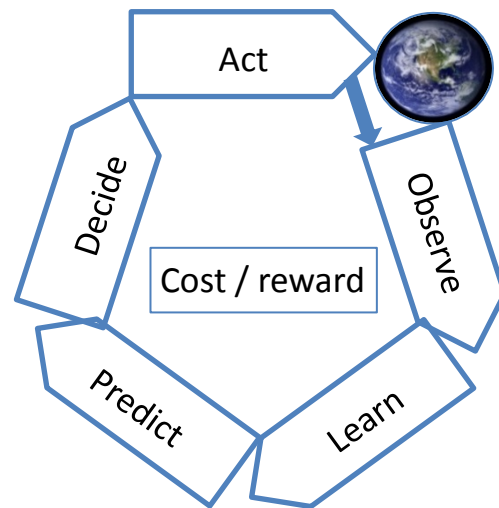
Campbell Scott, IBM Research Almaden



**Goal:** Design a robust system capable of learning by multimodal observation, continuously and unsupervised, predicting, (ultimately) making decisions and acting on them.

## Outline

1. Architecture of network
2. Algorithms
3. Components: test and demo
  1. Static correlation
  2. Sequence memory
  3. Temporal pooling and correlation
  4. Feedback
4. Predicting chaos – double pendulum
5. Scaled network – auto-encoding of sequences
6. Summary



# Summary of take-home messages

## Design

- Neurological inspiration
  - Neurons in mini-columns, cortical *layers* (L-I .. L-VI) and hierarchy (*levels*)
  - Driving and modulating synapses, (modified) Hebbian updates
  - Stable network via homeostasis
  - Avoid catastrophic forgetting
- Simplicity
  - Binary neurons and synapses, sparse neural activity, sparse synapse connections
  - A few canonical functions: correlation, sequence learning, feed-forward with temporal pooling, feedback
- Importance of time
  - Learn to predict

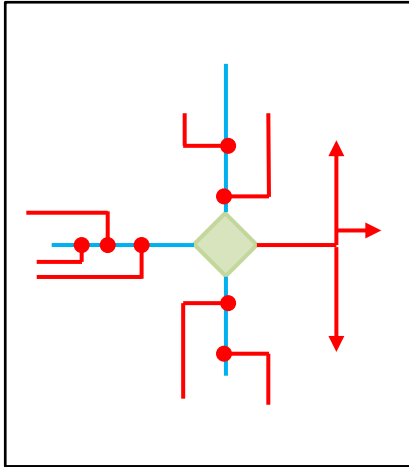
## Results

- Emergent invariance
  - Invariant representations generated in higher levels of hierarchy
- Context for current (driving) input provided by modulating synapses

# CAL architecture: biologi**CAL**

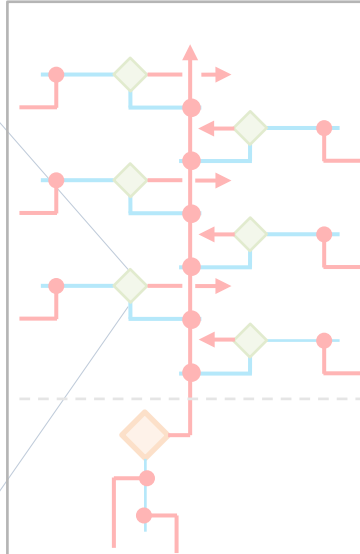
## Cellular scale

- $\sim 5\ \mu\text{m}$
- Neuron, axons, dendrites, synapses



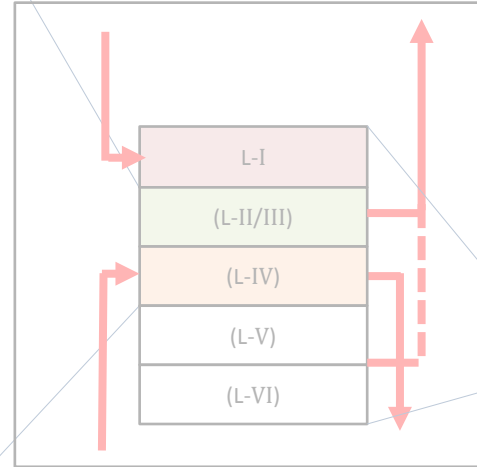
## Micro scale

- $\sim 100\ \mu\text{m}$
- Mini-column



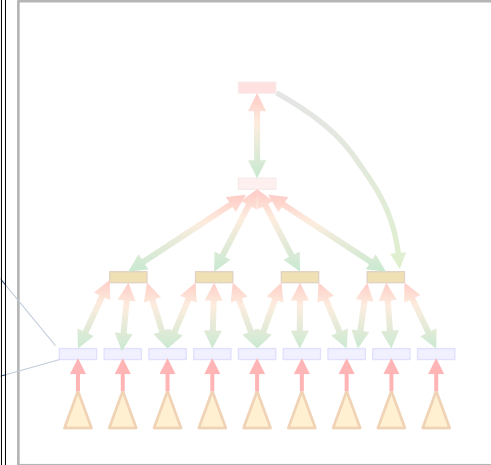
## Meso scale

- $\sim 1\ \text{mm}$
- Region of cortical sheet
- Components are layered



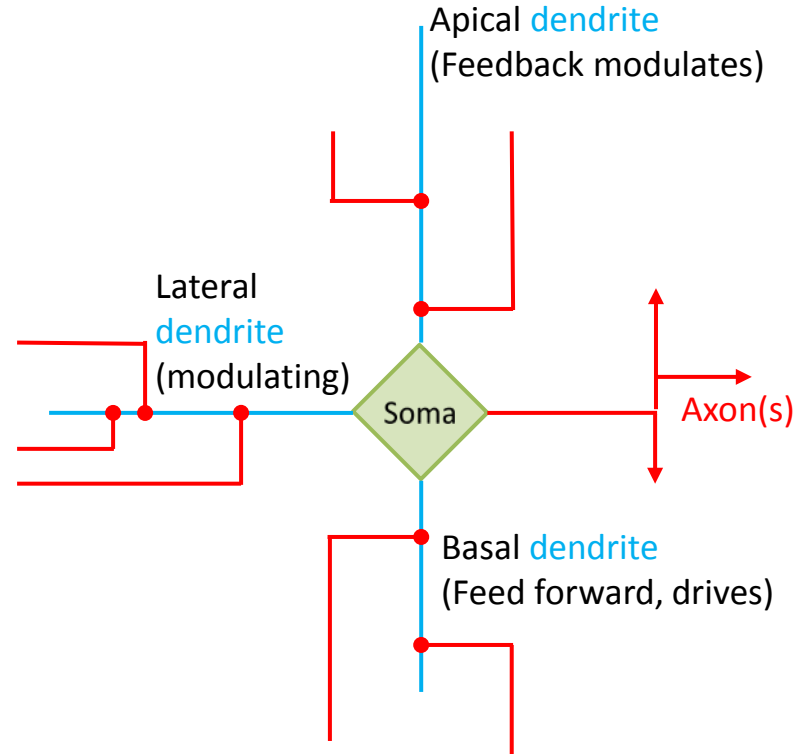
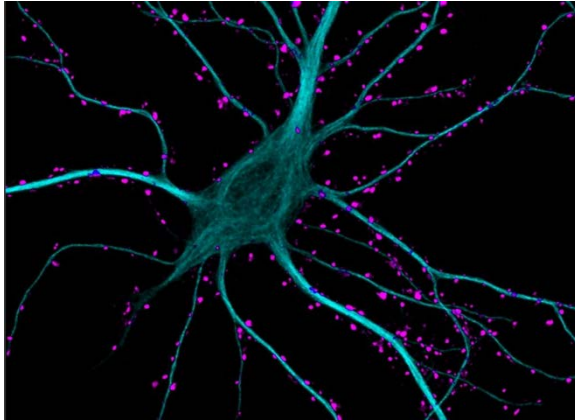
## Macro scale

- $\sim 10\ \text{cm}$
- Hierarchical network of cortical “regions”



# Cellular scale – single neuron

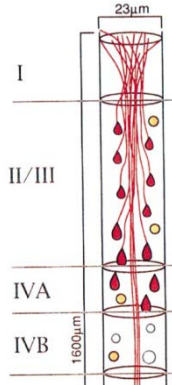
- Soma
- Dendrites (receive input)
  - Driving (basal)
  - Modulating (lateral and/or apical)
- Axons propagate output
- Synapses



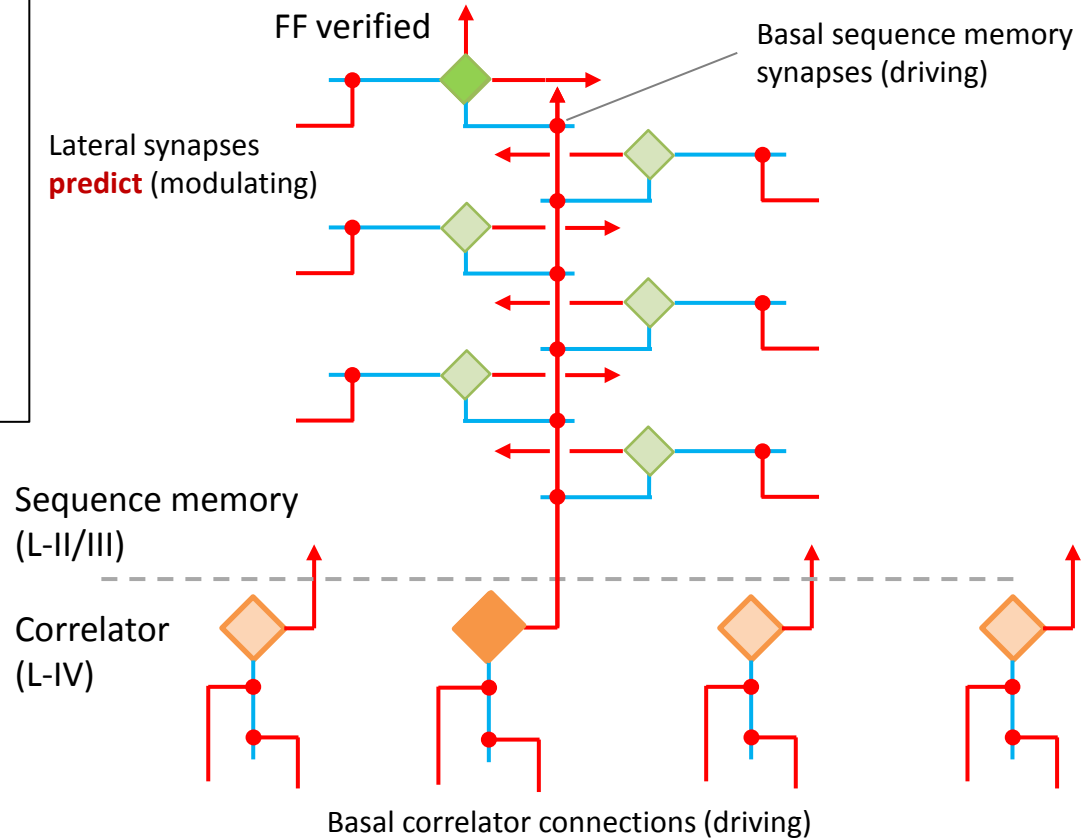


# Micro scale – mini-column

- Basic neural “circuit”
- Correlator is (layer of) L-IV cells
  - Driven by synapses on basal dendrite
  - Drive L-II/III cells via their basal synapses
- Sequence memory is (layer of) mini-columns
  - Mini-column defined by common L-IV cell
  - L-II/III cells connect laterally to modulate

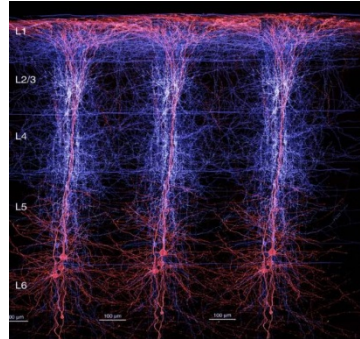
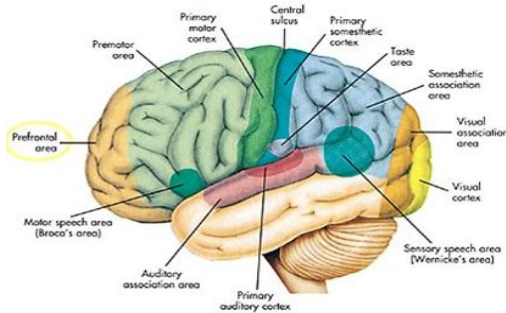


[From Mountcastle Brain, 1997]



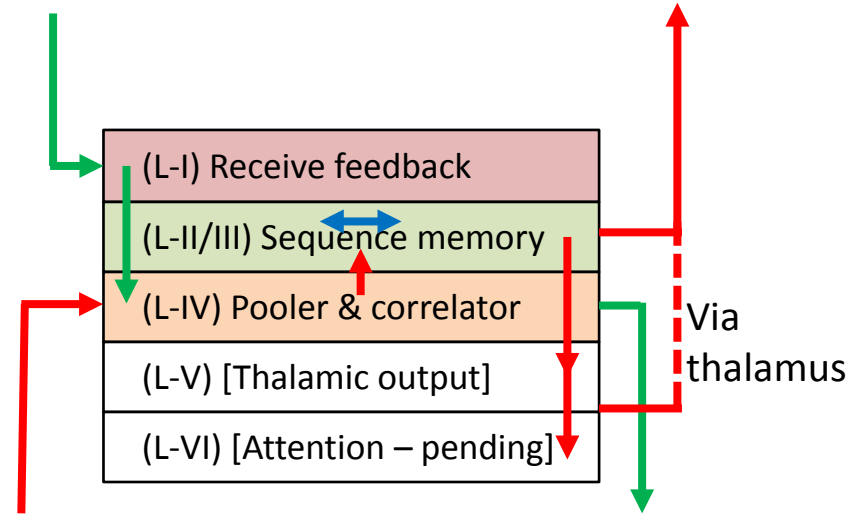
# Meso scale – cortical region

- Region of cortical sheet
- Components are layered
  - Structural differences
  - Functional differences
  - (No universal agreement)
- Similar over entire cortex



Cortex cross-section

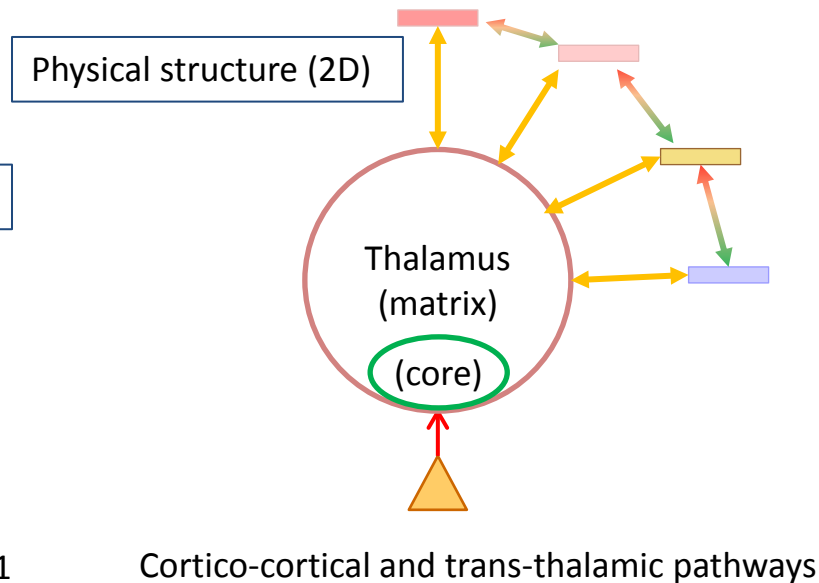
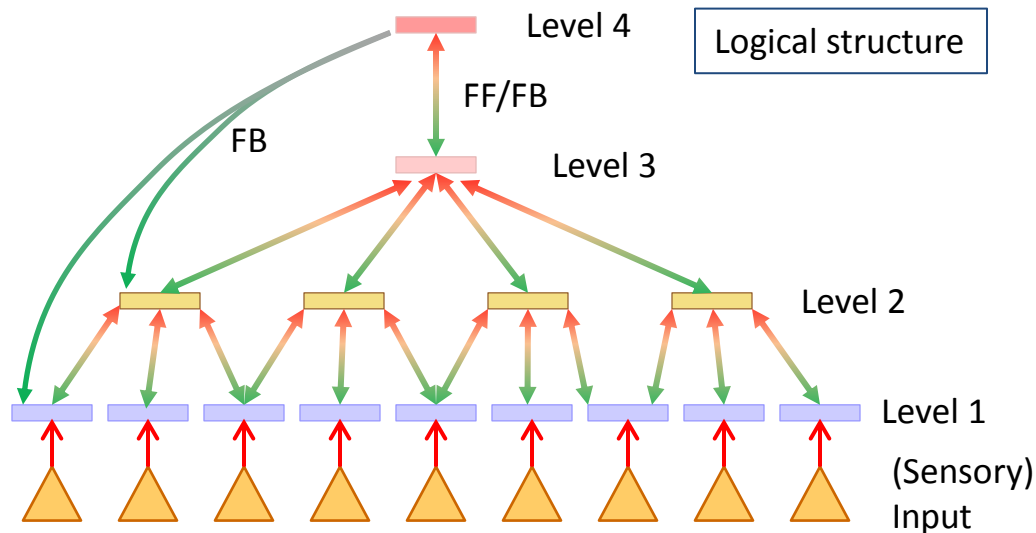
[R. Friedman Biomed. Comp. Rev. 2009]



- FF axons
- FB axons
- ↔ Lat. axons

# Macro scale - cortex

- Hierarchical network of “regions”
- Connected by fibers (bundles of axons)
  - Feed-forward and feedback
  - Direct or routed via thalamus
- FF to next highest level
- FB to any lower level



# CALgorithms: MathematiCAL

Input is axon activity,  $\mathbf{x}$ , a sparse **binary vector** (sbv).

Connectivity  $\mathbf{C}$  is sparse **binary matrix**

Output is dendrite activity,  $\mathbf{y}$ , also sbv

**multiply** (axon active and connected)

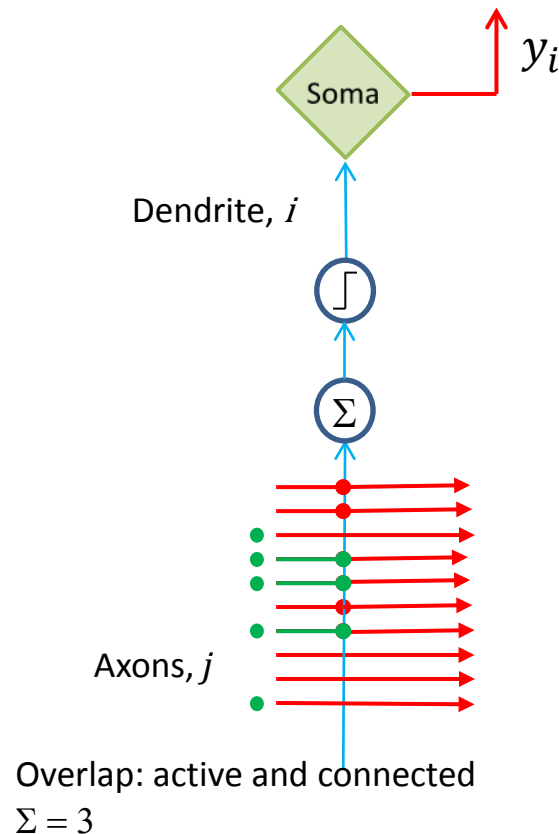
**accumulate**, (sum is “overlap”)

**threshold**:

$$y_i = \left( \sum_j c_{ij} x_j \right) \geq \tau$$

**Self-adjusting threshold &  $k$ -winners-take-all**

$$\mathbf{y} = \mathbf{C}\mathbf{x} \geq \tau; \quad \tau \text{ such that } |\mathbf{y}| = k$$



# Threshold modulation – provides context

Lateral activity (overlap  $\Sigma^{(L)}$ )  
provides context of current sequence (e.g. '...ABC')

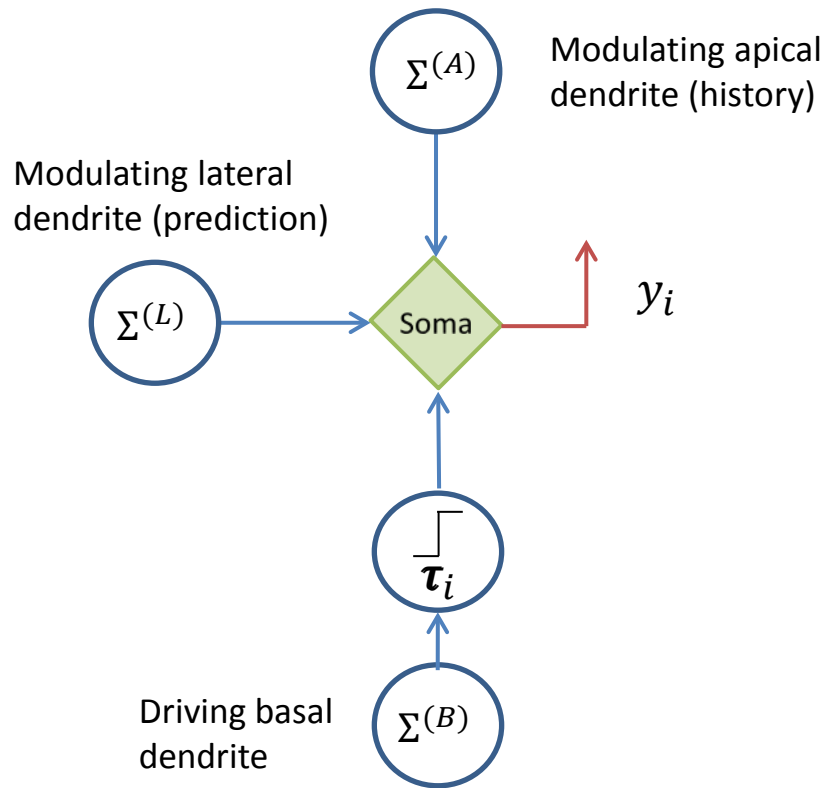
Prediction via reduction of basal threshold of  
'D' mini-columns

$$\tau_i = \tau / g_i^{(L)}; \text{ "gain" } g_i^{(L)} \sim \Sigma^{(L)}$$

Lower threshold is equivalent to higher overlap

$$y_i = \Sigma^{(B)} \geq \tau_i \quad \Rightarrow \quad y_i = \left( g_i^{(L)} \Sigma^{(B)} \right) \geq \tau$$

Similarly apical overlap.  
Feedback provides prior context, to sustain basal activity.



# Synapse update – following Hebb

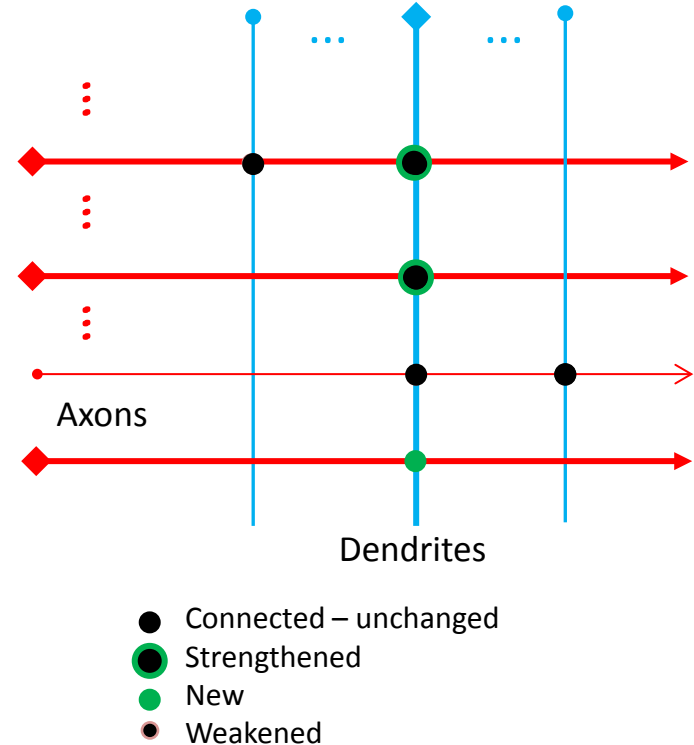
- Synapse “permanence” is scalar property
- Connectivity (weight) is binarized permanence (*cf.* Numenta HTM)

## Hebb

- Both pre- and post-synaptic neurons active: strengthen (increase permanence)  
or create new one
- Only one active: weaken (decrease permanence)

## Correlation

- When two axons are often active simultaneously, they connect to the same dendrite
- When two axons are rarely active simultaneously, they connect to different dendrites



# Maintain a balance – homeostasis

## Dendrite sensitization

- Lower threshold (increase gain) for dendrites with few connections

## “Proportional Hebb”

- Increase/decrease in inverse proportion to number being updated  
i.e. maintain roughly constant mean permanence

## “Conditional Hebb”

- If axon or dendrite has excess connections, do not strengthen
- If axon or dendrite has too few connections, do not weaken  
i.e. maintain roughly equal number of connected synapses

## “Pruning”

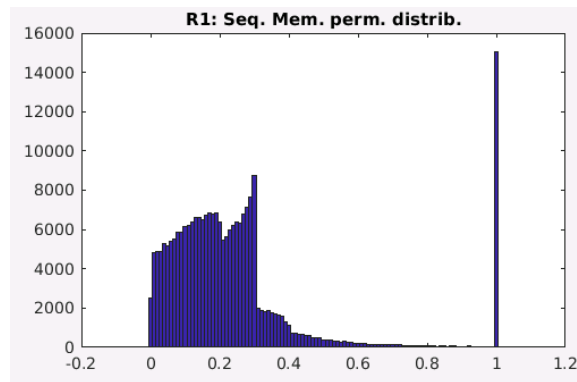
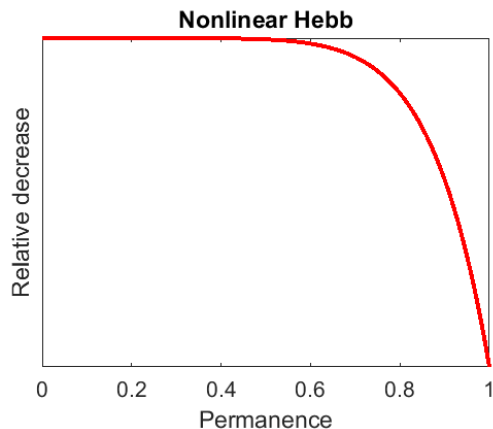
- Remove excess weak synapses  
*cf.* mechanism during sleep

# Do not forget - long term memory

“Nonlinear Hebb” to avoid “catastrophic forgetting”

- Reduce permanence decrements for well established synapses

$$\delta p \sim (1 - p^\gamma), \quad \gamma > 5$$



Results in two populations of synapses: plastic and permanent



# Temporal pooling and correlation (towards invariance)

Feed forward from SM-1 to BC-2 **verified** neurons :

$v_1(t)$  predicted at  $t-1$ , active at  $t$

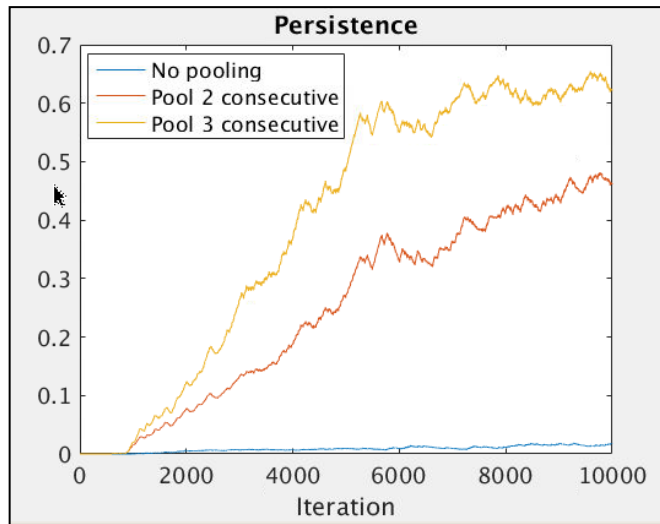
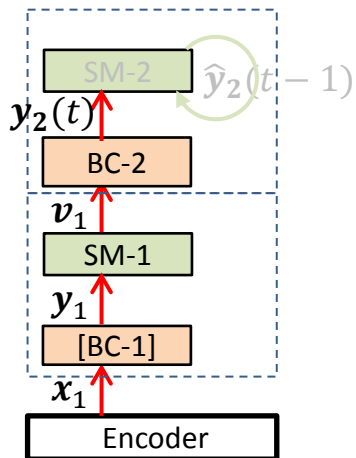
Pool by union of consecutive FF inputs:

$$x_2(t) = v_1(t) \cup v_1(t-1) \cup v_1(t-2) \dots$$

Metric: persistence  
(fraction of bits that remain  
on in two consecutive iterations)

$$\Pi(t) = \frac{|y_2(t-1) \cap y_2(t)|}{|y_2(t-1)|}$$

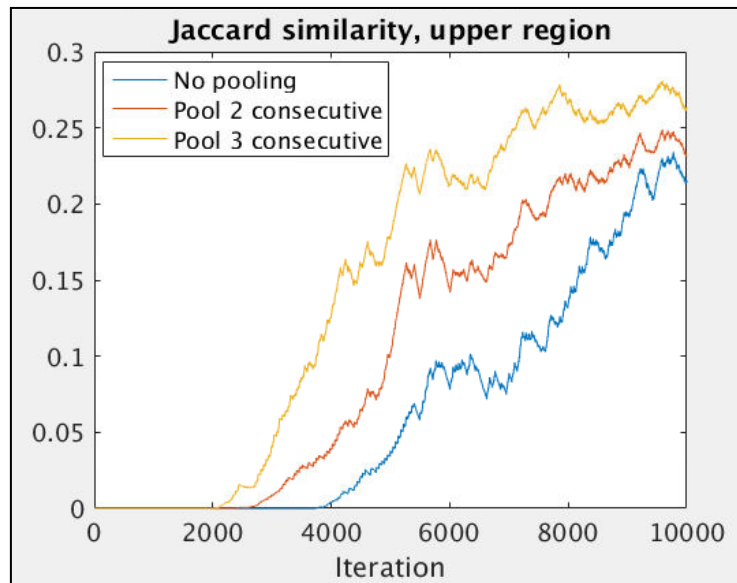
Network: 2 regions (1-1)



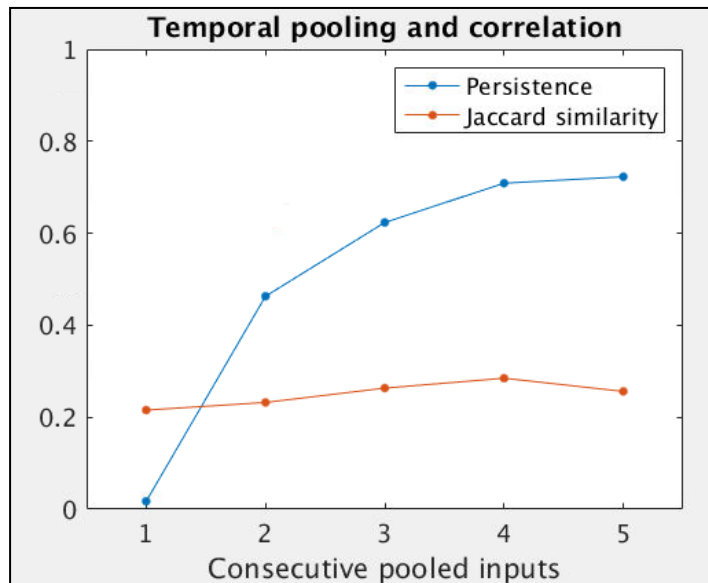
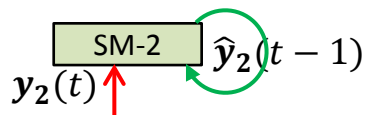
Text input: 3 sentences, selected in **random** order. Total of 10,000 characters

The quick brown fox jumps over a lazy dog.  
The 1990s saw the emergence of cognitive models.  
CAL is built on several fundamental principles.

# Temporal pooling (cont.) – Learning rate, persistence



Jaccard similarity: normalized match of previous prediction and current “truth”  $J = \frac{|\hat{y}_2(t-1) \cap y_2(t)|}{|\hat{y}_2(t-1) \cup y_2(t)|}$

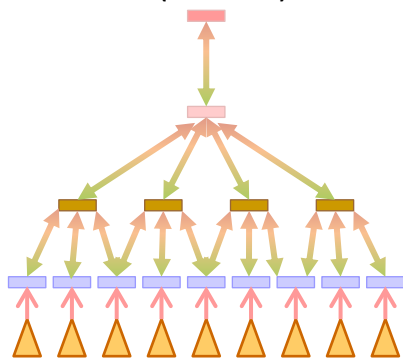


Temporal pooling (union of consecutive inputs)

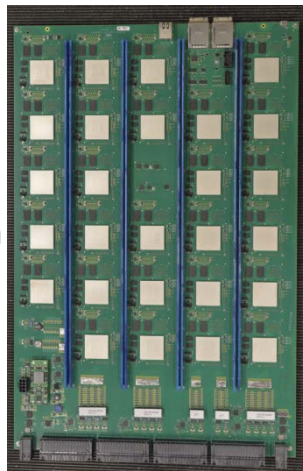
- generates increasing stability of representation
- accelerates learning

# Scaling the hierarchy – video sequences

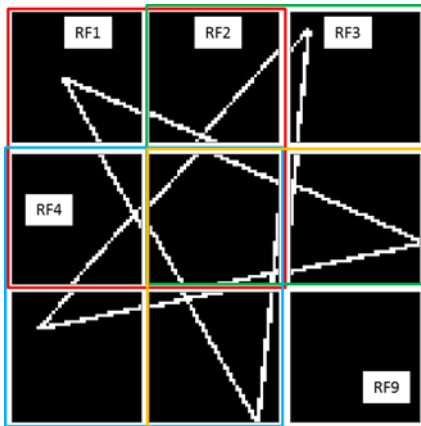
Network (9-4-1-1)



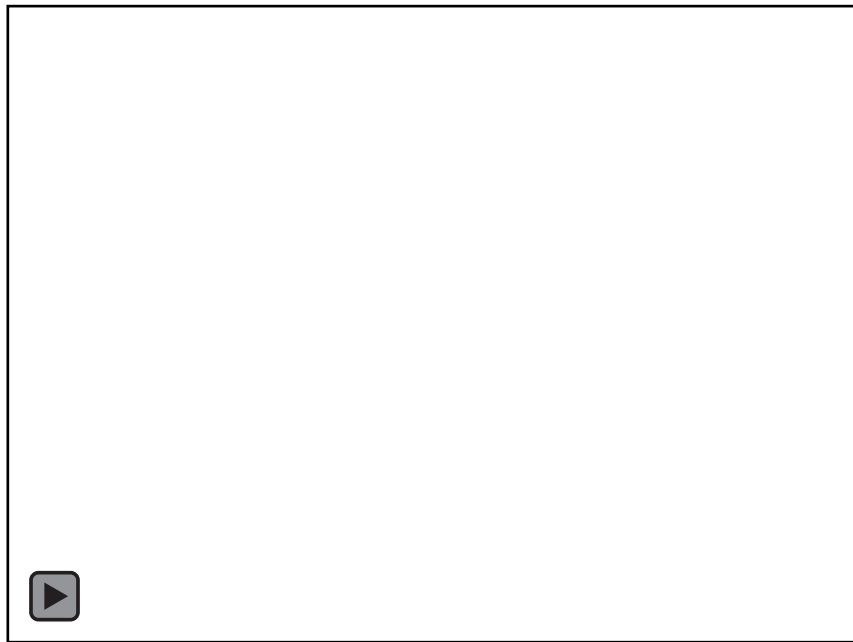
ESCAPE  
27 CPUs  
1 GB each  
FPGAs



Input:  
rotating binary images,  
7 different shapes.



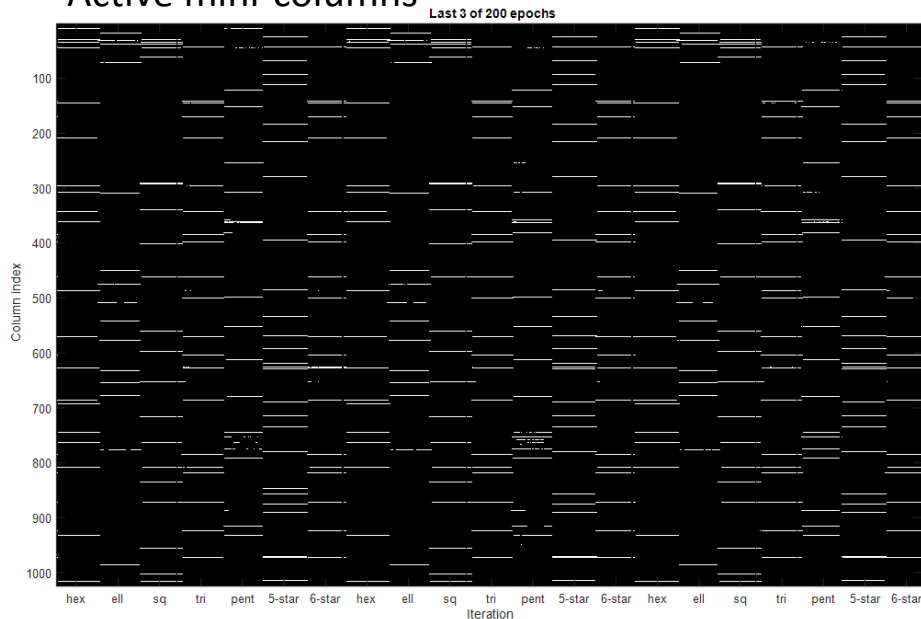
9 receptive fields  
Feed 9 regions on level-1  
Pool to 4 regions on level-2  
1 region on levels 3, 4



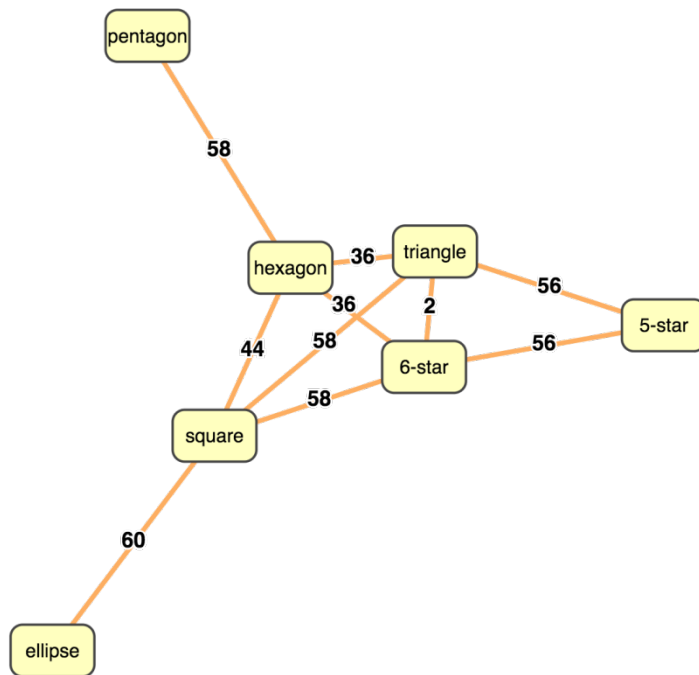
# Building the hierarchy – auto-encoding of sequences

Representations ( $y_{4,1}$ ) in top region  
are stable for each shape

Active mini-columns



Hamming distances (max. 64) between  
representations as “force” diagram  
(Blank edges are orthogonal,  $d = 64$ )



# Summary - pedagogi**CAL**

## Design

- Neurological inspiration
  - Neurons in mini-columns, cortical **layers** (L-I .. L-VI) and hierarchy (**levels**)
  - Driving and modulating synapses, (modified) **Hebbian** updates
  - Stable network via **homeostasis** (dendrite sensitization, proportional, conditional Hebb)
  - Avoid catastrophic forgetting (nonlinear Hebb)
- Simplicity
  - **Binary** neurons and synapses, sparse neural activity, sparse synapse connections
  - Active axons and connected synapses (**overlap**) and **floating threshold**
  - A few canonical functions:  
(so far) **correlation**, **sequence** learning, feed-forward with **temporal pooling**, feedback
- Importance of time
  - Learn to predict

## Results

- Emergent invariance
  - Invariant representations generated in upper levels of hierarchy
- Context for current (**driving**, basal) input provided by **modulating** (lateral, apical) synapses

KATE



# Acknowledgments



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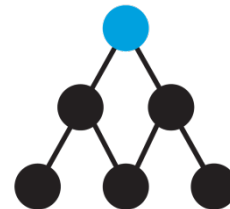
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